Format for Submission of Proposals for Funding to the FAO-Turkey Forestry Partnership Programme, FTFP

2. Project Concept Note- Regional Concept Note 2: Improved Forest Management
(14 September 2019-ibelen)

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1. General framework

Key-information	
Title of the Programme FTFP and cluster	Broad areas: Sustainable forest management, plantations, restoration and forest fires (Area 1); Wood and noon-wood forest products and ecosystem services (Area 2); People and forests (Area 4); Governance including policy and institutional development (Area 5); Assessment and monitoring (Area 6); Interdisciplinary issues including sustainable land use management and climate change (Area 7); Thematic areas: Sustainable management of forests and trees (30%); Sustainable land and natural resource management as well as land degradation neutrality (40%); Institutional reform, training and national capacity enhancement (30%)
Beneficiary country(ies), area(s) and target group(s)	**Countries:** Azerbaijan, Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan **Area(s):** Sustainable Development Goal 15: Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss **Target Group(s):** All citizens of beneficiary countries who are by national laws entitled to use tangible and non- tangible benefits provided by forests administrated by the respective national ministries
Title of the Proposal	Improved Forest Management
Originator (name, title, institution, division, email, tel.)	
Background /Problems to be addressed (is it a pending issue? Previous consultations with FAO/other donors?)	Countries of the region share common problems related to the legacy of the former Soviet system: Lack of comprehensive and functional planning mechanism for forest management. Local organizational units administrating forests owned by the Governments face the following challenges: • Outdated forest resources inventory data • Outdated or non-existent forest management plans including sections on ecosystem services including management and use of non-wood forest products (NWFPs) In addition, lack of institutional, human and technical capacities as well as insufficient funding mechanisms are serious issues that hamper adequate management of forest resources. FAO-Turkey Forestry Partnership Programme will address these problems with the help of Turkish technical experience and financial support. This regional project will emphasize improved forest management. Support of technical and institutional capacities in this field will be channeled mainly by update/development of both forest resources inventory data and forest management plans. The project activities will contribute to adaptation to climate change and understanding of the value of ecosystem services provided through forests.
Alignment of FAO's Strategic Framework, Regional Initiatives and Country Programming	**Strategic Framework:** Make agriculture, forestry and fisheries more productive and sustainable **Strategic Objective:** 2 - Increase and improve provision of goods and services

Framework	from agriculture, forestry and fisheries in a sustainable manner **Organizational Outcome:** **Outcome 2.1:** Countries adopted practices to increase productivity sustainably while addressing climate change and environmental degradation in agriculture, forestry and fisheries **Outcome 2.2:** Countries developed or improved policies and governance mechanisms to address sustainable production, climate change and environmental degradation in agriculture, fisheries and forestry **Outcome 2.3:** Countries improved implementation of policies and international instruments for sustainable agriculture, fisheries and forestry **Outcome 2.4:** Countries made decisions based on evidence for sustainable agriculture, fisheries and forestry while addressing climate change and environmental degradation **Organizational Output 20102:** Integrated and multisectoral approaches for ecosystem valuation, management and restoration are identified, assessed, disseminated and their adoption by stakeholders is facilitated **Regional Initiatives:** Managing natural resources sustainably, under a changing climate **Azerbaijan CPF Outcome:** Priority 6: Sustainable, equitable and efficient forestry, land and water resources management (Institutional capacities strengthened for sustainable management: Sustainable management of forest and tree resources and rehabilitation and restoration of degraded forest lands supported in line with the NFP action plan) **Kazakhstan CPF Outcome:** Priority Area 3. Sustainable natural resources management (water, land and forestry), Outcome 1. Capacity of the national institutions, natural resources managers and agricultural producers for sustainable natural resources management strengthened **Kyrgyzstan CPF Outcome:** Priority 3: Sustainable natural resource management, and resilience to climate change disasters **Tajikistan CPF Outcome:** Priority area B: Sustainable management of natural resources and improved resilience to climate change **Uzbekistan CPF Outcome**: Priority 3. Strengthening national capacity for sustainable management of natural resources, climate change and biodiversity
Outcome / Goals	Sustainable forest management is promoted through enhanced capacity and improved knowledge specifically on forest resources inventory, assessment and monitoring of forest resources, wood and noon-wood forest products and ecosystem services, governance including institutional development, and options to adapt to climate change.
Major outputs	• Assessment of forest resources at the leschoz level (leschoz = local operational management unit) in selected pilots • Model forest management plans for pilot leschozes • Technical capacity building and awareness raising on improved forest management through trainings, workshops, study tours, etc.
Main activities foreseen	• Assessment of forest resources at the leschoz level in selected pilots o Establishment of forest management planning units tasked with data collection, information maintenance and management, and monitoring o Selection of operational management units (leschozes) for piloting the planning process

	○ Needs assessment based on distribution of ecosystem services ○ Forest inventories (i.e. data collection through field (inter alia by SHARP tool) and remote sensing (Collect Earth tool) and GIS supported analyses • Model forest management plans for pilot leschozes ○ Development of guidelines on multifunctional forest management planning ○ Preparation of multifunctional forest management plans including focus on NWFP (mainly fruits and nuts) and soil/water conservation ○ Development of guidelines to monitor implementation success of multifunctional forest management plans • Technical capacity building and awareness raising on improved forest management through trainings, workshops, study tours, etc. ○ Introduction to the concept of ecosystem services ○ Fundaments of multifunctional forest management planning ○ Organization of forest management planning units (GIS based forest information system) ○ Assessment of forest resources at local operational management unit level including SHARP and Collect Earth introduction, setting up permanent inventory plots ○ Techniques of forest management planning ○ Grassroots level (gender sensitive) awareness raising activities on sustainable forest management ○ PR strategy and visibility materials
Key inputs	• Financial support (if possible, also co-financing by respective governments) • Human Resources (experts from Turkey, FAO and the countries) • Infrastructure of countries including office and work facilities, equipment, etc.
Timeframe/Duration of project (max. 24 m. x Nat. initiatives)	
Budgetary requirements (max. UD$ Nat. init.)	
Feasibility/Potential risk	• Lack of technical knowledge and experience for implementation of activities • Tools and equipment not available • Lack of supportive activities by the governments to create adequate enabling environment
Links to other programmes and projects, both in-country and in the sub-region (Gov., FAO and non-FAO)	UNFCCC, UNCCD, UNDAF, FAO-NFP, FAO-CPF, Government Strategies, Legislation, Programmes, Action Plans, Other Projects such as `GEF/FAO Sustainable management of forests and land resources under the climate change conditions`; `Word Bank Project for `Integrated Forest Management; `Forest Resources Assessment and Monitoring to Strengthen Forest Knowledge Framework in Azerbaijan-GCP/AZE/007/GFF`; and `Sustainable management of forests in Mountain and Valley areas in Uzbekistan-GCP/UZB/004/GFF`
Beneficiary and other	Citizens of the above countries who are permitted to use tangible and non-

stakeholders	tangible benefits provided by forests administrated by the respective national ministries **Azerbaijan:** Ministry of Ecology and Natural Resources **Kazakhstan:** Ministry of Agriculture (Forestry and Wildlife Committee) **Kyrgyzstan:** The State Agency for Environmental Protection and Forestry **Tajikistan:** Ministry of Agriculture **Uzbekistan:** Ministry of Agriculture and Water Resources (State Committee for Forestry)
Other relevant points/issues	

2. Simplified Logical Framework

Annex II/2: Simplified Logical Framework			
Project Elements/Components	**Success Indicators** (=Objectively verifiable indicators)	**Ways of Verifying** (=Means of Verification)	**Assumptions** (=Conditions needed to contribute to the Impact/Goal) **and Risks** (= Potential of the unwanted jeopardizing the achievement of goal, impact, targets, etc.)
Impact/Goal Enhanced capacity and improved knowledge on sustainable forest management	Multifunctional forest management plans for each operational forest management unit of the respective country envisioned or in place	Records on forest management plans	Motivated staff attends the envisioned capacity building activities. This can be compromised if staff nominated for these activities is chosen regardless of motivation/selection criteria.
Outcome(s) (the immediate beneficial change(s) promoted by the project) Role of centralized management planning units responsible for development of management plans in beneficiary countries understood by decision makers	Management planning units in place or planned to be established in every beneficiary country	Organigram of respective forest administrations	Commitment for sustainable management of forest lands is necessary. Unwanted jeopardizing can result from limited willingness of high level decision makers to establish centralized management planning units.
Outputs (the deliverables of the project) • Assessment of forest resources at the leschoz level in selected pilots • Model forest management plans for pilot leschozes • Technical capacity building and awareness raising on improved forest management through	• Updated forest resources inventory • Multifunctional forest management plan • Attendance of	• Leschoz records • Leschoz records • Participant list records	Permanent staff to work on forest resource assessment available. This all can be jeopardized by staff fluctuations.

trainings, workshops, study tours, etc.	capacity building and awareness raising activities		
Activities (the main activities to be undertaken in order to accomplish the expected outputs) • Needs assessment based on distribution of ecosystem services • Development of guidelines on multifunctional forest management planning • Capacity building and knowledge sharing on fundaments of multifunctional forest management planning	• Respective report content • Guidelines • Training records	• Report • Report • Attendance records	Technical staff committed to take up on new paradigms. Risks are related to lack of willingness of the trained staff to implement newly obtained knowledge in daily work.

3. Work plan-Regional Concept Note 2: Improved Forest Management

Activities	Year 1				Year 2				Remarks
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	
A. Inception Phase									
1. Undertake five initial missions to be organized to all five participating countries. Each mission will include (1) Inception meetings with respective government representatives and other relevant parties to inform about upcoming work, (2) Stakeholder workshops to obtain feedback on proposed works and agreement on the pilot sites and (3) Field visits to the pilot sites in the countries									
2. Deliver first draft of full size project document based on the feedback obtained in the course of the initial mission. Prepare introductory presentation.									
3. Undertake final mission to debrief respective government representatives and other relevant parties. This debriefing should be organized in one country within a framework of a verification workshop. The aim is to present the work and obtain feedback, and endorsement for the proposed work.									
4. Provide second revision based on the feedback from the final mission on the full size project document. Update presentation to be shown to the donor.									
5. Organize debriefing meeting with relevant decision makers at the Ministry of Agriculture and Forestry to obtain endorsement from the donor for the proposed work									
6. Submit third (final) revision based on the feedback from decision makers at the Ministry of									

Agriculture and Forestry of the full size project document to FAO.									
7. Establishment of project team (including Terms of reference for the project team, and designation of Government project national coordinators, FAO countries offices focal points, MFWA seconded experts to FAO Ankara, recruitment of Administrative assistant at FAO SEC)									
8. Preparation of TOR and membership for the Project Steering Committee, followed by its formal establishment									
9. Update work plan and prepare implementation strategy (including MoUs/Letter of Agreements with partners for project implementation and procurement plan)									
10. Organization of Kick-off Workshop									
B. Implementation Phase									
Output 1: Assessment of forest resources at the leschoz level in selected pilots									
1. Establishment of forest management planning units tasked with data collection, information maintenance and management, and monitoring									
2. Selection of operational management units (leschozes) for piloting the planning process									
3. Needs assessment based on distribution of ecosystem services									
4. Forest inventories (i.e. data collection through field (inter alia by SHARP tool) and remote sensing (Collect Earth tool) and GIS supported analyses									
Output 2: Model forest management plans for pilot leschozes									
1. Development of guidelines on multifunctional forest management planning									
2. Preparation of multifunctional forest management plans including focus on NWFP (mainly									

fruits and nuts) and soil/water conservation									
3. Development of guidelines to monitor implementation success of multifunctional forest management plans									
4. Development of guidelines on multifunctional forest management planning									
Output 3: Technical capacity building and awareness raising on improved forest management through trainings, workshops, study tours, etc.									
1. Fundaments of multifunctional forest management planning									
2. Organization of forest management planning units (GIS based forest information system)									
3. Assessment of forest resources at local operational management unit level including SHARP and Collect Earth introduction, setting up permanent inventory plots									
4. Techniques of forest management planning									
5. Grassroots level (gender sensitive) awareness raising activities on sustainable forest management									
6. PR strategy and visibility materials									
C. Monitoring and Evaluation									
1. Project steering committees organization and reports to MFWA									
2. Project evaluation									

4. Budget Description

Regional Concept Note 2: Improved Forest Management				
Budget description	Output 1 Assessment of forest resources at the leschoz level in selected pilots (USD)	Output 2 Model forest management plans will be developed for pilot leschozes (USD)	Output 3 Technical capacity building and awareness raising on improved forest management through trainings, workshops, study tours, etc. (USD)	Total budget (USD)
Implementation Phase				
Human resources				
Project Focal Point (appointed by MAF)*				
International Project Coordinator				
5 National Project Coordinators				
JTO (seconded by MAF for the project)				
Administrative Assistant				
Contracts				
Locally Contracted Labor				
Consultants				
Workshops and Travels				
Expendable Equipment				
Non Expendable Equipment				
General Operating Expenses				
Communication and visibility				
Technical Support Services				
Sub-Total				
Project Development				

Elaboration of Full-size Project Document through External Contract				
Sub-Total				
Monitoring&Evaluation				
Project steering committee organization and reports to MAF and Project evaluation				
Sub-Total				
Grand Total				

* Based on Operational Framework paragraph 15, hence subject of Steering Committee decision